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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/932,546	08/17/2001	Andrew J. Pospisilik	20488-25/CIP

CONFIRMATION NO. 4799

21710  
BROWN RUDNICK FREED AND GESMER P C  
BOX IP  
18TH FLOOR ONE FINANCIAL CENTER  
BOSTON, MA 02111

FORMALITIES LETTER



\*OC000000006550634\*

Date Mailed: 09/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/25/2001 SHINASS1 00000090 09932546

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65.00 OP



#4 RECEIPT  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Pospisilik, *et al*

DOCKET NO.: 20488/25-CIP

SERIAL NO.: 09/932,546

ART UNIT: 1631

FILED: August 17, 2001

ASSISTANT COMMISSIONER FOR PATENTS  
US PATENTS AND TRADEMARKS OFFICE  
WASHINGTON, DC 20231-0001

Sir:

**REQUEST FOR CORRECTED FILING RECEIPT**

An Error was noted on the official Filing Receipt for the above-referenced Patent Application. Attached is a copy of the original official Filing Receipt received from the United States Patent and Trademark Office for which issuance of a corrected Filing Receipt is respectfully requested. The inventor, Matthias Hoffman, as shown on the filing receipt should be spelled as follows: Matthias Hoffmann. A copy of the original official Filing Receipt with the correction is noted in red. Also enclosed is a copy of an executed Declaration and Power of Attorney for the above referenced Patent Application showing the correct spelling of said name.

\*\*\*\*\*

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231 on: September 10, 2001.

By:

Renee Mason

Renee Mason

If for any reason a fee is due, the Commissioner is hereby authorized and requested to charge  
Deposit Account No. 50-0369.

Respectfully submitted,



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John C. Serio (Reg. No. 39,023)  
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Dated: September 20, 2001



DUPLICATE

## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/932,546	08/17/2001	1631	355	20488-25/CIP	5	10	3

CONFIRMATION NO. 4799

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BOSTON, MA 02111

## FILING RECEIPT



\*OC000000006550633\*

Date Mailed: 09/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

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Christopher H.S. McIntosh, Vancouver, CANADA;  
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[MATTHIAS HOFFMANN]

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/155,833 10/06/1998

## Foreign Applications

If Required, Foreign Filing License Granted 09/12/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Use of dipeptidyl peptidase IV effectors for lowering blood pressure in mammals

**Preliminary Class**

514

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**Data entry by :** LE, THANH-LAN

**Team :** OIPE

**Date:** 09/13/2001



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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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